

**opsdog**

**WORKFLOW**

**BROKER DEALER**

**CM Listed Derivatives**

**BRM 2.0 FORMAT**

**The OpsDog Financial Services Hierarchy**

- Broker Dealer
  - Insurance
  - Banking
  - Investment/Asset Management
  - Consumer Finance
- Investment Management
- Investment Banking
- Sales & Trading
- Investment Research
- Compliance & Risk Management
- Trade Operations & Support**
  - Collateral Management Listed Derivatives**
- Investing & Lending

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**Collateral Management - Listed Derivatives: Workflow**

- A Data Capture
- B Calculate Call
- C Call Processing
- D Reporting

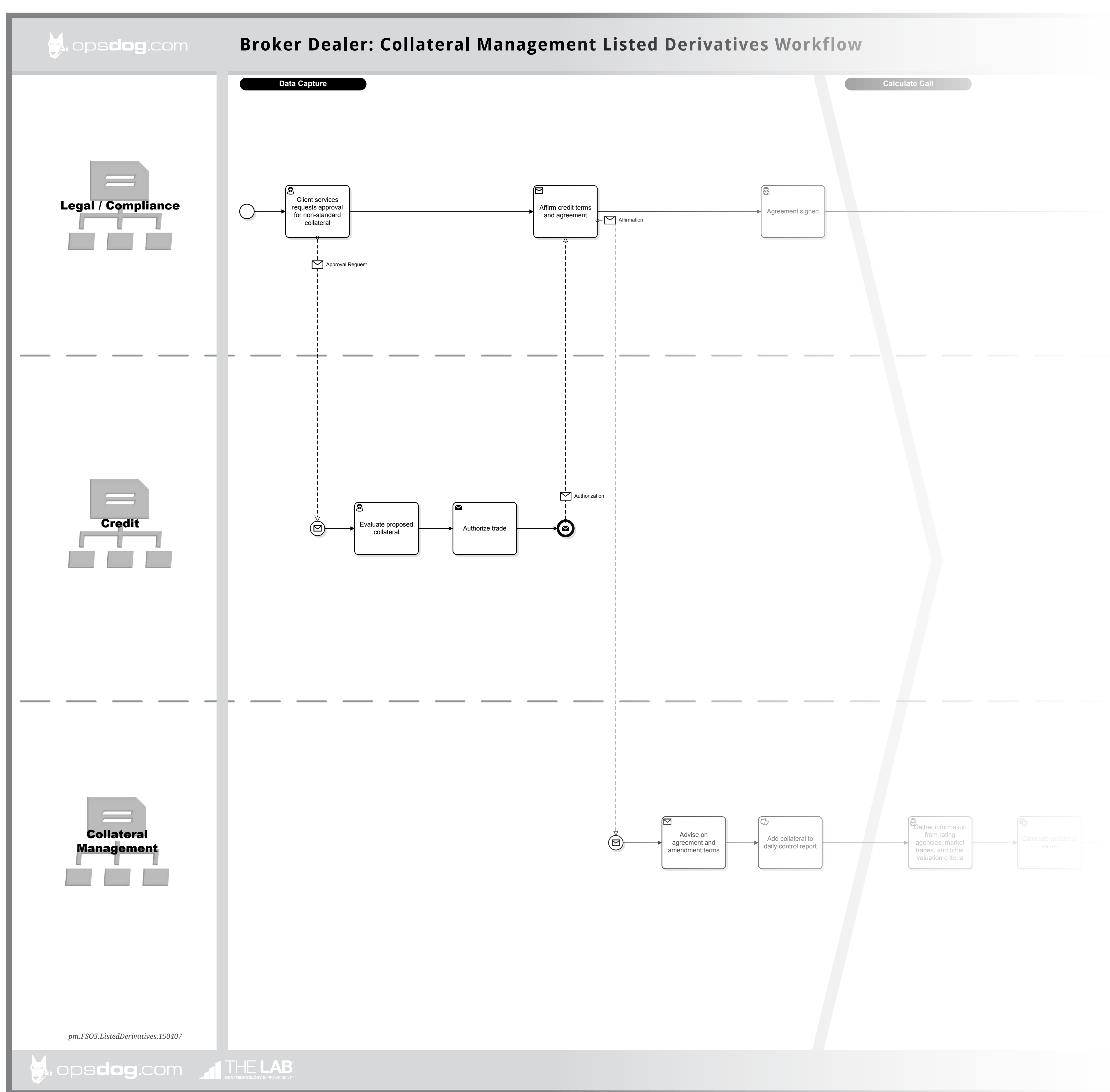
**Workflow Description**

The evaluation and authorization of collateral for listed derivatives. Includes request for collateral, collateral term agreement, calculation of collateral value, margin calls and interest, collateral and trade value reporting.

**Legend**

- Start Event
- Intermediate Event
- End Event
- Gateway
- Group
- Task
- Expanded Sub-Process
- Pool
- Sequence Flow
- Message Flow

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