

opsdog

BPMN 2.0 FORMAT

BROKER DEALER Valuation Statement

The OpsDog Financial Services Hierarchy

- Broker Dealer
 - Insurance
 - Banking
 - Investment/Asset Management
 - Consumer Finance
- Investment Management
- Investment Banking
- Sales & Trading
- Investment Research**
 - Valuation Statement**
- Compliance & Risk Management
- Trade Operations & Support
- Investing & Lending

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Trade Confirmation: Workflow

- Price Valuation Statements

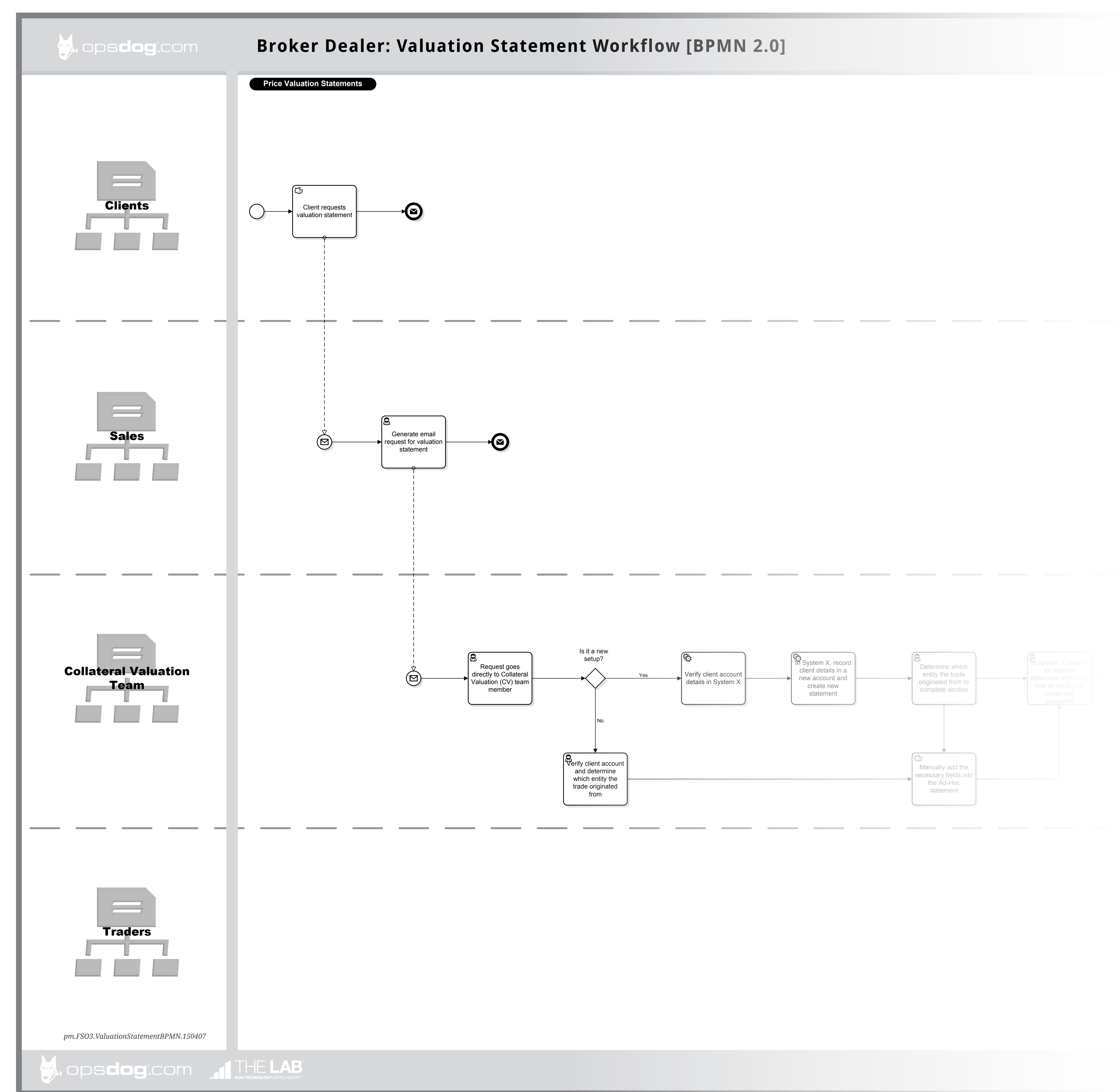
Workflow Description

The reception, processing and fulfillment of pricing valuation requests from clients. Includes account verification, pricing statement generation and review (and correction, if needed) and transmission of the statement to the requesting party.

Legend

- Start Event
- Intermediate Event
- End Event
- Gateway
- Group
- Task
- Expanded Sub-Process
- Pool
- Sequence Flow
- Message Flow

DO-IT-YOURSELF BUSINESS PROCESS IMPROVEMENT WORKFLOW



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