

opsdog

WORKFLOW

BPMN 2.0 FORMAT

BROKER DEALER Valuation Statement

The OpsDog Financial Services Hierarchy

- Broker Dealer
 - Insurance
 - Banking
 - Investment/Asset Management
 - Consumer Finance
- Investment Management
- Investment Banking
- Sales & Trading
- Investment Research
 - Valuation Statement
- Compliance & Risk Management
- Trade Operations & Support
- Investing & Lending

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Trade Confirmation: Workflow

Price Valuation Statements

Workflow Description

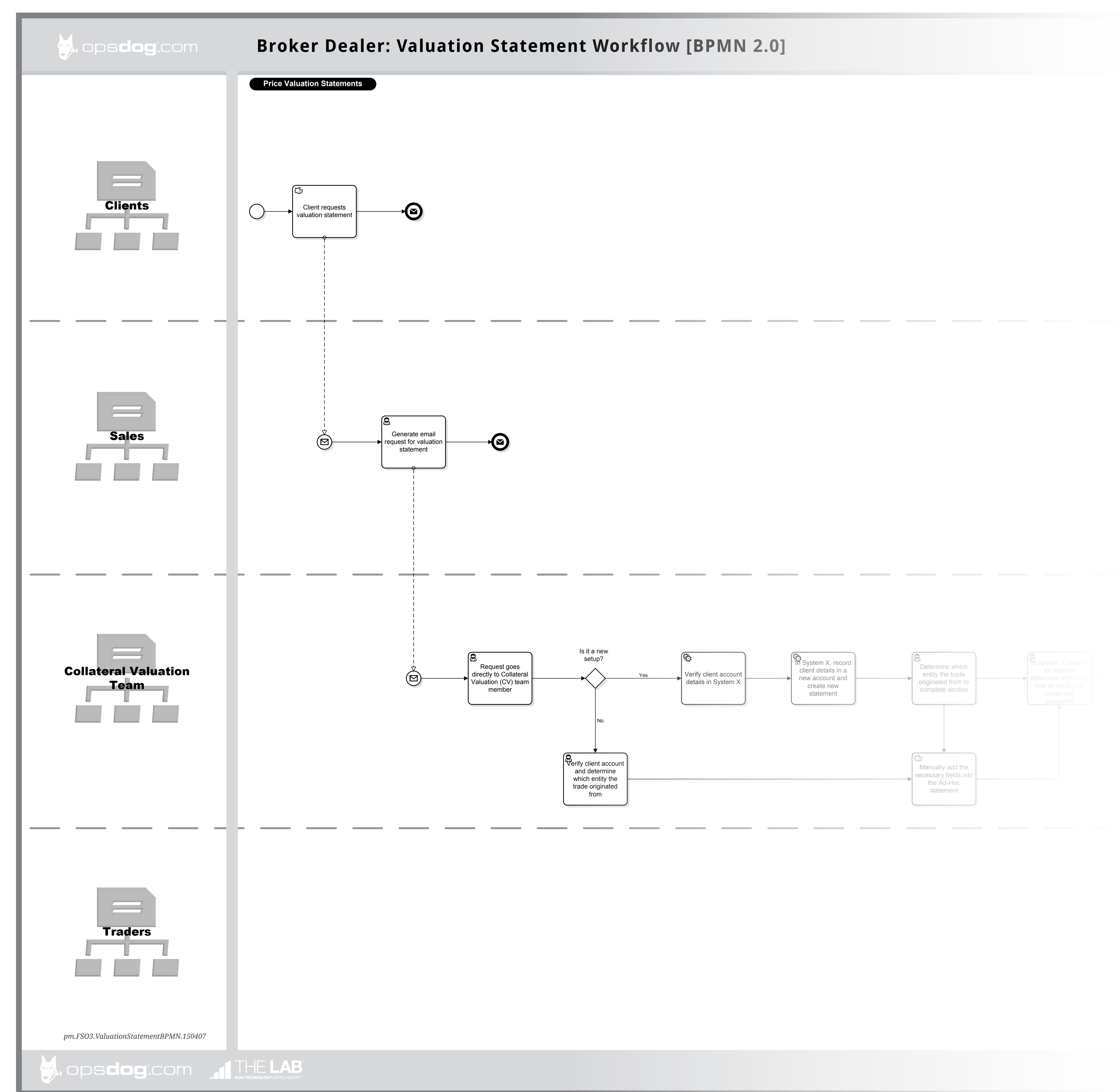
The reception, processing and fulfillment of pricing valuation requests from clients. Includes account verification, pricing statement generation and review (and correction, if needed) and transmission of the statement to the requesting party.

Legend

- Start Event
- Intermediate Event
- End Event
- Gateway
- Group
- Task
- Expanded Sub-Process
- Pool
- Sequence Flow
- Message Flow

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