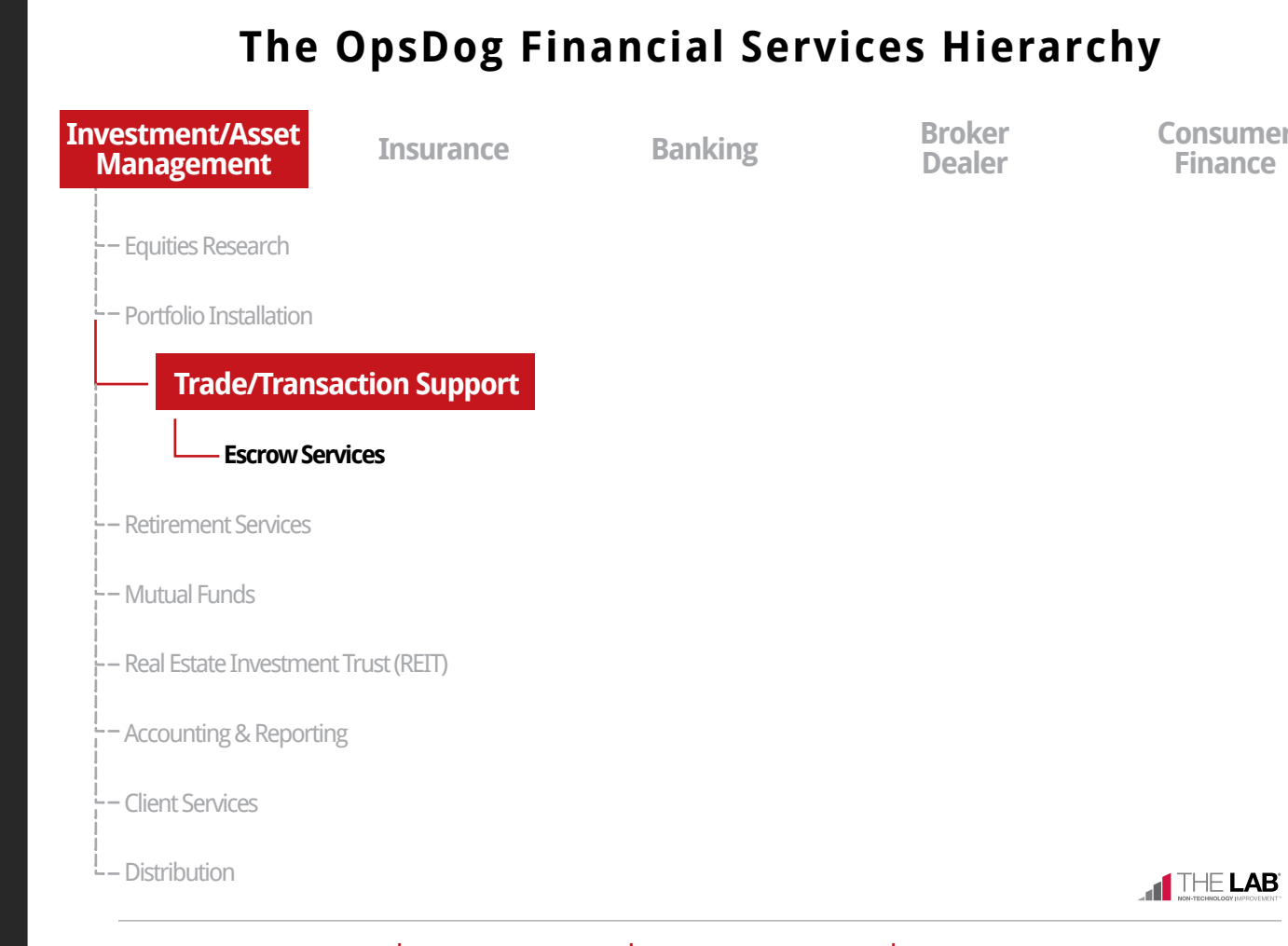
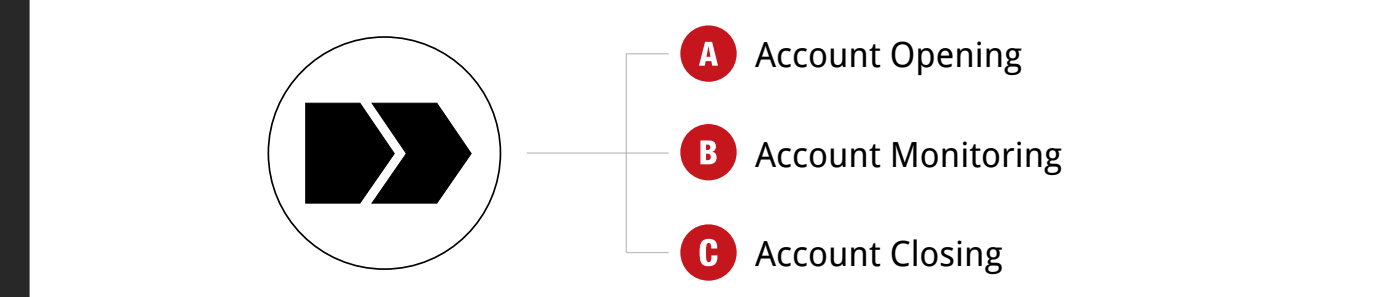


INVESTMENT/ASSET MANAGEMENT
Escrow Services



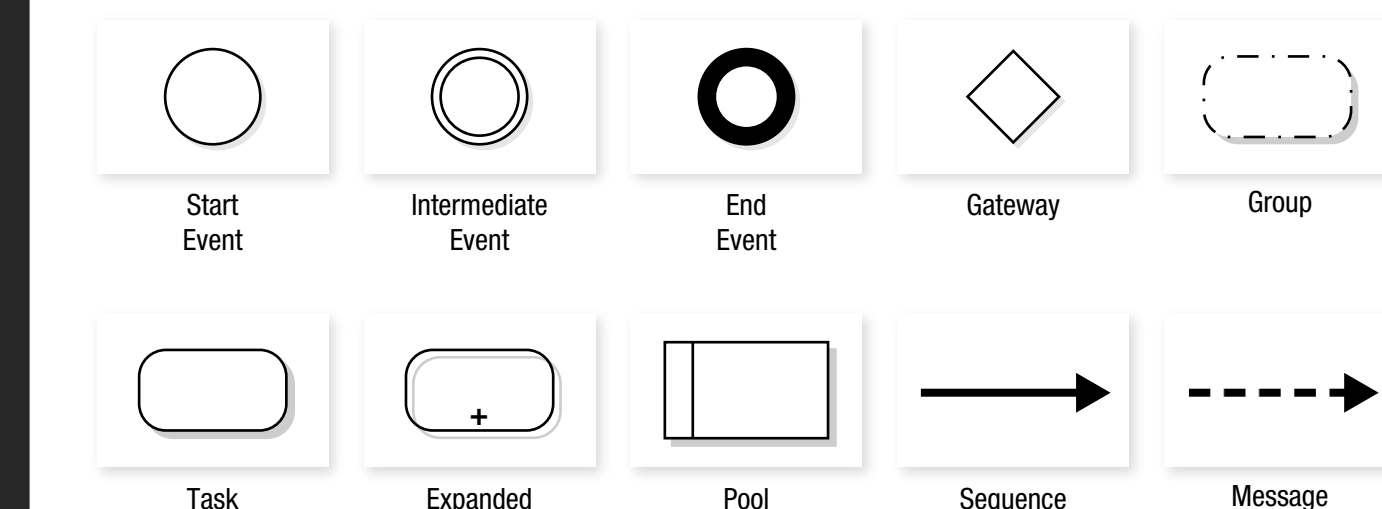
Escrow Services: Workflow



Workflow Description

The opening and closing of financial escrow service accounts. Includes negotiation, risk assessment, account opening and account closing.

Legend



opsdog.com Investment/Asset Management: Escrow Services Workflow [BPMN 2.0]

Customer

Account Opening

```

            graph TD
            Start(( )) --> Task1[Prospect/representative contacts business development officer]
            Task1 --> Gateway1(( ))
            Gateway1 --> Gateway2(( ))
            Gateway2 --> Task2[Completes needs analysis and provide pricing]
            Task2 --> Task3[Provide Patriot Act (US) requirements and a sample escrow services agreement]
            Task3 --> Task4[Client managers negotiate escrow services agreement and receive additional required documents]
            Task4 --> Task5[Access System X and select account type (trustee, custodian, executor, etc.)]
            Task5 --> Task6[Input customer data in the Know Your Customer (KYC) fields and information for risk assessment]
            Task6 --> Task7[Complete and Attach/ upload all documents in System X.]
            Task7 --> Task8[Request for new account is sent automatically to review team]
            Task8 --> End(( ))
            
```

Account Management

pm.FSO4.EscrowServicesBPMN.141017

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