

opsdog

WORKFLOW

INVESTMENT/ASSET MANAGEMENT

Portfolio Implementation

BPMN 2.0 FORMAT

The OpsDog Financial Services Hierarchy

- Investment/Asset Management
- Insurance
- Banking
- Broker Dealer
- Consumer Finance
- Equities Research
- Portfolio Installation
- Portfolio Implementation
- Trade/Transaction Support
- Retirement Services
- Mutual Funds
- Real Estate Investment Trust (REIT)
- Accounting & Reporting
- Client Services
- Distribution

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Portfolio Implementation: Workflow

- A Transition Initiation
- B Approval & First Draft Development
- C Contract & Guideline Negotiation
- D Contract & Guideline Finalization

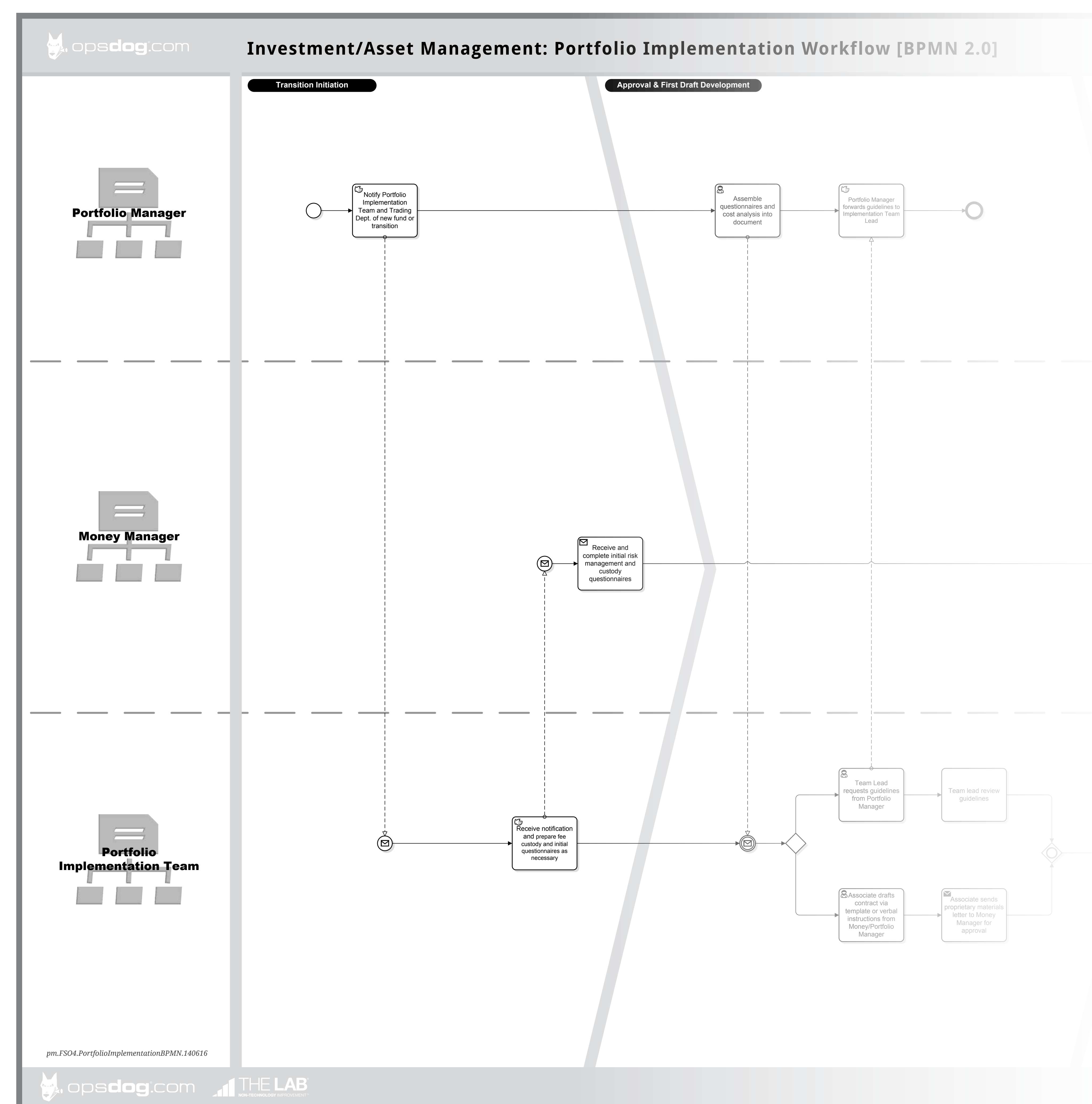
Workflow Description

Portfolio Implementation involves three functional groups: Portfolio Managers, Money Managers and Portfolio Implementation Team. The Portfolio group alerts the Implementation group about a change in strategy. Implementation coordinates with Money Managers to build a risk assessment and documentation. Portfolio uses this information to make a set of strategy guidelines and sends it to Implementation, which works with the Money Managers to make changes to the portfolio.

Legend

- Start Event
- Intermediate Event
- End Event
- Gateway
- Group
- Task
- Expanded Sub-Process
- Pool
- Sequence Flow
- Message Flow

DO-IT-YOURSELF BUSINESS PROCESS IMPROVEMENT



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