

opsdog

BPMN 2.0 FORMAT

INVESTMENT/ASSET MANAGEMENT Trade Management

The OpsDog Financial Services Hierarchy

- Investment/Asset Management
 - Insurance
 - Banking
 - Broker Dealer
 - Consumer Finance
- Equities Research
- Portfolio Installation
- Trade/Transaction Support
 - Trade Management
- Retirement Services
- Mutual Funds
- Real Estate Investment Trust (REIT)
- Accounting & Reporting
- Client Services
- Distribution

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Trade Management: Workflow

- A Intraday Activity
- B T + 0 End-of-Day Activity
- C T + 1 Activity
- D Month-End Reporting

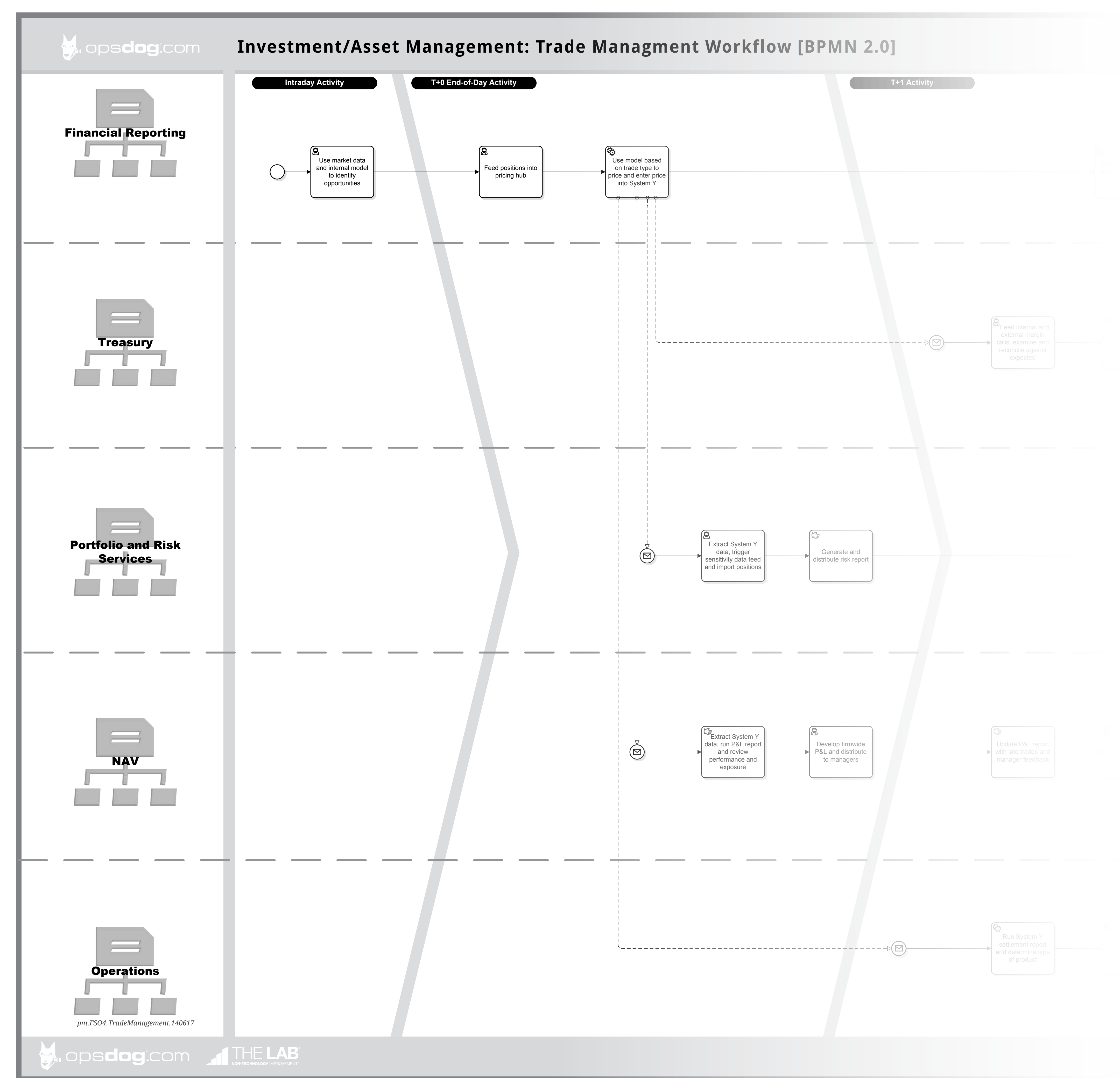
Workflow Description

Trade Management is the process by which trades are executed upon market opportunities. Trade Management involves modeling of market conditions, risk management, trade execution, reconciliation and reporting.

Legend

- Start Event
- Intermediate Event
- End Event
- Gateway
- Group
- Task
- Expanded Sub-Process
- Pool
- Sequence Flow
- Message Flow

DO-IT-YOURSELF BUSINESS PROCESS IMPROVEMENT WORKFLOW



Login to **OpsDog** to purchase the full workflow template (available in PDF, Visio, PPT)

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