

opsdog

BPMN 2.0 FORMAT

INVESTMENT/ASSET MANAGEMENT

Account Opening & Maintenance

The OpsDog Financial Services Hierarchy

- Investment/Asset Management
 - Insurance
 - Banking
 - Broker Dealer
 - Consumer Finance
- Equities Research
- Portfolio Installation
- Trader/Transaction Support
- Retirement Services
- Mutual Funds
- Real Estate Investment Trust (REIT)
- Accounting & Reporting
 - Account Opening & Maintenance
- Client Services
- Distribution

www.OpsDog.com | info@OpsDog.com | Phone: 201.526.1200 | www.TheLabConsulting.com

Account Opening & Maintenance: Workflow

- Account Opening
- Transaction Processing

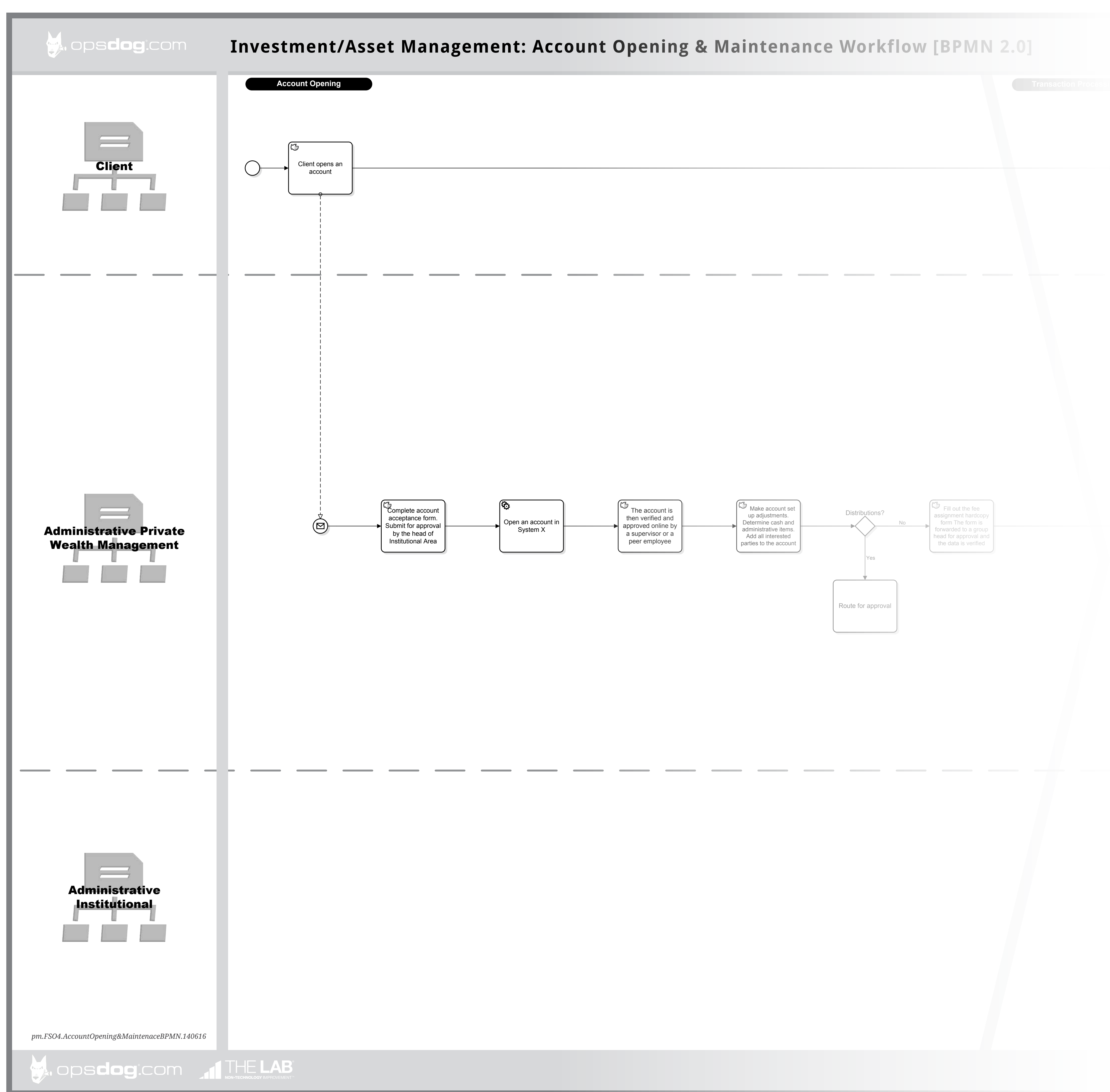
Workflow Description

The submission, acceptance, initial funding, and handling of any subsequent transactions related to a private wealth management (PWM) customer account.

Legend

- Start Event
- Intermediate Event
- End Event
- Gateway
- Group
- Task
- Expanded Sub-Process
- Pool
- Sequence Flow
- Message Flow

DO-IT-YOURSELF BUSINESS PROCESS IMPROVEMENT WORKFLOW



Login to **OpsDog** to purchase the full workflow template (available in PDF, Visio, PPT)

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